

# Nano neodymium oxide induces massive vacuolization and autophagic cell death in non-small cell lung cancer NCI-H460 cells<sup>☆</sup>

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## Abstract

Neodymium, a rare earth element, was known to exhibit cytotoxic effects and induce apoptosis in certain cancer cells. Here we show that nano-sized neodymium oxide (Nano Nd<sub>2</sub>O<sub>3</sub>) induced massive vacuolization and cell death in non-small cell lung cancer NCI-H460 cells at micromolar equivalent concentration range. Cell death elicited by Nano Nd<sub>2</sub>O<sub>3</sub> was not due to apoptosis and caspases were not involved. Electron microscopy and acridine orange staining revealed extensive autophagy in the cytoplasm of the cells treated by Nano Nd<sub>2</sub>O<sub>3</sub>. Autophagy induced by Nano Nd<sub>2</sub>O<sub>3</sub> was accompanied by S-phase cell cycle arrest, mild disruption of mitochondrial membrane potential, and inhibition of proteasome activity. Bafilomycin A1, but not 3-MA, induced apoptosis while inhibiting autophagy. Our results revealed a novel biological function for Nano Nd<sub>2</sub>O<sub>3</sub> and may have implications for the therapy of non-small cell lung cancer. © 2005 Elsevier Inc. All rights reserved.

**Keywords:** Nano neodymium oxide; Autophagy; Cell death; Vacuolization

Despite the tremendous amount of work and much progress in the last decades, non-small cell lung cancer (NSCLC) remains one of the most lethal and difficult diseases to treat. The leading treatment regimen, chemotherapy, shows poor response rates in NSCLC patients, with relatively short duration and rare complete remissions [1,2]. There is a great need for the development of new and more potent drugs to conquer NSCLC.

Autophagy, a cellular process for eliminating long-lived proteins and organelle components, has received much attention in the recent years [3,4]. It is characterized by sequestration of bulk cytoplasm and organelles in autophagic vesicles and subsequent delivery to and degradation by the cell's own lysosomal system [5,6]. A role for autophagy in cancer has been implicated in a number of studies. Heterogeneous disruption of the autophagy gene Beclin 1 was shown to increase tumorigenesis in mice [7]. A variety of chemical or physical treatments, including oncogenic Ras [8], radiation [9], arsenic trioxide [10], ceramide [11], temozolomide [12], dopamine [13], endostatin [14], histone deacetylase (HDAC) inhibitors, butyrate and suberoylanilide hydroxamic acid (SAHA) [15], have been reported to induce autophagy in certain cancer cells. Normal cells, unlike the rapidly growing cancer cells, are expected to be less sensitive to pro-autophagic stimuli due to lower metabolic demands and normal activity of regulators such as PI3K and Akt [3]. Thus, finding potent autophagy-inducing agents may be of value for cancer therapy.

<sup>☆</sup> **Abbreviations:** NSCLC, non-small cell lung cancer; Nano Nd<sub>2</sub>O<sub>3</sub>, nano-sized neodymium oxide; 3-MA, 3-methyladenine; TRAIL, TNF-related apoptosis-inducing ligand; PI, propidium iodide; Z-VAD-FMK, arbobenzoxy-Val-Ala-Asp-fluoromethyl ketone; FACS, fluorescence activated cell sorting; AVO, acidic vesicular organelle; TEM, transmission electron microscopy.

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Several rare earth elements, including neodymium, have been reported to be cytotoxic to cancer cells [16,17]. The documented effects included suppression of cell growth and induction of apoptosis [17,18]. However, there has been no published report concerning the effect of rare earth

elements on autophagy. Here we show that nano-sized neodymium oxide (Nano Nd<sub>2</sub>O<sub>3</sub>) induced massive vacuolization and autophagic cell death in non-small cell lung cancer NCI-H460 cells in the micromolar equivalent concentration range.

## Materials and methods

**Reagents.** Nano Nd<sub>2</sub>O<sub>3</sub> (mean diameter 80 nm) and nano cerium oxide (mean diameter 80 nm) were from Fangyuan Nanomaterial Research Institute (China). Neodymium chloride, trypan blue, propidium iodide, rhodamine 123, acridine orange, and SucLLVY-AMC were purchased from Sigma (USA). TRAIL was from Calbiochem (USA). Caspase family inhibitor Z-VAD-FMK was from Biovision (USA). Bafilomycin A1 was purchased from Wako Pure Chemical Industries (Japan). 3-MA (Wako, Japan) was a kind gift from Professor Yoshihide Tsujimoto (Osaka University, Graduate School of Medicine). Reagents were dissolved in PBS, distilled water or DMSO according to manufacturer's instructions. 3-MA was dissolved in PBS at 10 mg/ml and heated to yield a clear, colorless solution before use. Annexin V-FITC apoptosis detection kit was purchased from Beijing Biosea Biotechnology (China). Caspase 3/9 spectrometric detection kits were from Nanjin Keygen Biotech (China).

**Cell line.** Human NCI-H460 cell line was purchased from Type Culture Collection of Chinese Academy of Sciences (Shanghai, China). Cells were cultured in RPMI 1640 (Invitrogen, USA) supplemented with 10% fetal bovine serum (Invitrogen), 100 U/ml penicillin, and 100 µg/ml streptomycin.

**Trypan blue viability assay.** After trypsinization, cells were suspended in PBS and mixed with an equal volume of 0.4% trypan blue in PBS. The percentage of stained cells was determined by using a hemocytometer. At least 150 cells were scored, with each sample repeated three times.

**Cell cycle analysis.** After trypsinization, cells were permeabilized by 70% ethanol, incubated with propidium iodide and RNase A, and analyzed for DNA content by FACSCalibur (Becton–Dickinson, USA). Data were analyzed by ModFit 3.1 (Verity Software House, USA).

**Apoptosis assay by annexin V staining.** Cells ( $5 \times 10^5$ ) were harvested by trypsinization and washed twice with PBS. Washed cells were resuspended in 200 µl binding buffer (PBS containing 1 mM calcium chloride). FITC-conjugated annexin V (0.5 µg/ml final concentration) was added according to the manufacturer's instructions (Biosea, China). After incubation for 20 min at room temperature, 400 µl binding buffer was added, and samples were immediately analyzed on a FACSCalibur flow cytometer (Becton–Dickinson) with excitation using a 488 nm argon ion laser.

**Apoptosis detection by Hoechst dye staining.** Cells were cultured in 24-well plates. After treatment, the culture medium was removed and 1 ml of pre-warmed Hoechst 33342 dye solution (1 µg/ml) was added to every well. After incubation at 37 °C for 10 min, the solution was replaced by 1 ml pre-warmed PBS and cells were examined under a fluorescent microscope (Olympus, Japan). Three sections were selected and examined randomly for every sample (at least 350 cells). Cells with condensed nuclei (apoptotic) were counted and the percentage of apoptotic cells was calculated.

**Autophagy assay by quantification of AVOs.** Cells were trypsinized, collected, and stained with acridine orange (final concentration: 0.5 µg/ml) for 10 min. After centrifugation, cells were resuspended in PBS and analyzed with FACSCalibur (Becton–Dickinson). Data were analyzed using FCS Express V2 (De Novo Software, USA).

**Transmission electron microscopy.** Cells were harvested by trypsinization, washed twice with PBS, and fixed with 2% paraformaldehyde/2% glutaraldehyde in 0.1 M phosphate buffer (pH 7.4), followed by 1% OsO<sub>4</sub>. After dehydration, thin sections were stained with uranyl acetate and lead citrate for observation under a JEOL TEM-100SX electron microscope (JEOL, Japan).

**Caspase inhibition assay.** NCI-H460 cells were seeded in a 24-well plate and incubated overnight at 37 °C. One hour before treatment with Nano Nd<sub>2</sub>O<sub>3</sub> (40 µg/ml) or TRAIL (20 ng/ml), 50 µM Z-VAD-FMK (solubilized

in DMSO) was added. After 3 days, cell viability was determined by trypan blue staining.

**Analysis of mitochondrial membrane potential.** Rhodamine 123, a cationic voltage-sensitive probe, was used to detect changes in mitochondrial membrane potential by using flow cytometry. Cells were collected with trypsinization, washed twice with PBS, and stained with 1 µM rhodamine 123 in PBS in the dark at 37 °C for 1 h. Samples were washed, resuspended with PBS, and then analyzed with FACSCalibur (Becton–Dickinson). Data were analyzed using FCS Express V2 (De Novo Software).

**Caspase activity assay.** To detect caspase activity, we performed spectrometric detection according to manufacturer's instructions. Briefly,  $3 \times 10^6$  cells were collected and lysed in the lysis buffer. After removal of cell debris, the concentration of proteins in the supernatant (cell extract) was quantified using the Bradford method. Cell extract containing 200 µg protein was incubated with caspase-3 substrate (Ac-DEVD-pNA) or caspase-9 substrate (Ac-LEHD-pNA) at 37 °C in the dark for 4 h. Absorbance at 405 nm was read using a microplate reader. After subtracting the background, the activity of caspases was calculated as OD treated/OD control.

**Proteasome activity detection.** 20S proteasome activity was assessed as previously described [19,20] with slight modifications. Briefly, cell extract containing 50 µg protein was diluted to 500 µl with SDS buffer [20 mM Hepes (pH 7.8), 0.5 mM EDTA, and 0.03% SDS]. Fluorogenic proteasome substrate Suc-LLVY-AMC (chymotrypsin-like; Sigma) was dissolved in DMSO and added to a final concentration of 80 µM (in 0.8% DMSO). After incubation at 37 °C in the dark for 1 h, substrate hydrolysis was measured by monitoring the release of the fluorescent group AMC using a spectrofluorometer (excitation at 380 nm, emission at 460 nm).

**Statistical analysis.** All of the experiments were repeated at least three times. The data were expressed as means  $\pm$  SD. Statistical analysis was performed by using two-tailed Student's *t* test. The criterion for statistical significance was taken as  $p < 0.05$ .

## Results

### Nano Nd<sub>2</sub>O<sub>3</sub> caused massive vacuolization in NCI-H460 cells

To assess the effect of Nano Nd<sub>2</sub>O<sub>3</sub> on NCI-H460 cells, we added Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml, equivalent of 133.8 µM) to the cell culture medium and observed cell morphology under a light microscope. Massive vacuolization in the cytoplasm was seen 2 days after the Nano Nd<sub>2</sub>O<sub>3</sub> treatment (Fig. 1A). Vacuoles started to be visible approximately 3.5 h after the addition of Nano Nd<sub>2</sub>O<sub>3</sub>, and as time progressed, vacuoles became larger and denser (number of vacuoles within a cell increased). Meanwhile, the percentage of cells with at least one visible vacuole also increased with time, and by 48 h over 70% of cells were vacuolated (Fig. 1B). Neodymium chloride, a soluble neodymium compound, and nano-sized cerium oxide, a close neighbor of neodymium oxide in the periodic table, both failed to cause vacuolization in NCI-H460 cell line (data not shown). These results indicated that the ability of Nano Nd<sub>2</sub>O<sub>3</sub> to cause cellular vacuolization is both element- and compound-specific.

### Nano Nd<sub>2</sub>O<sub>3</sub> caused cell death

To assess whether Nano Nd<sub>2</sub>O<sub>3</sub> causes cell death, we performed trypan blue viability assay on NCI-H460 cells after treatment with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml). As shown

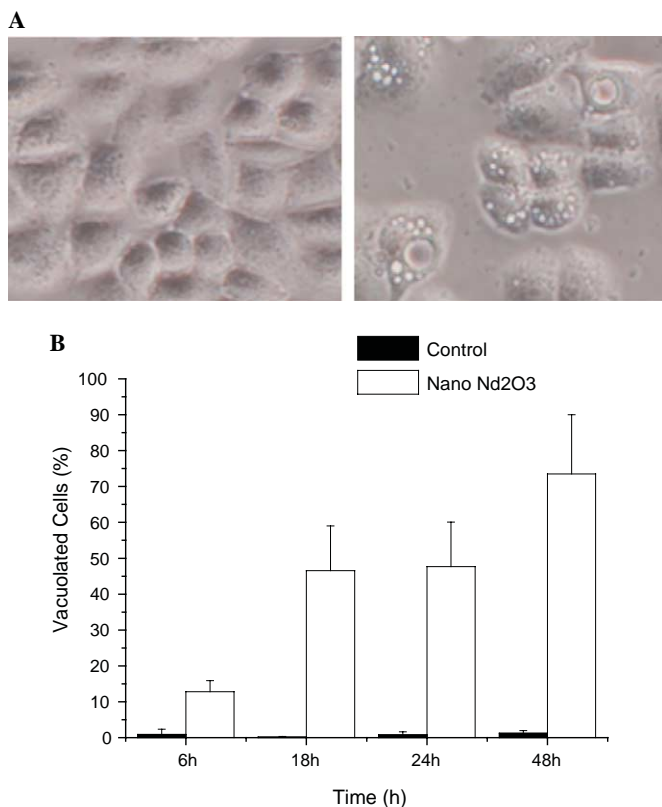


Fig. 1. Massive vacuolization of NCI-H460 cells induced by Nano  $\text{Nd}_2\text{O}_3$ . (A) Direct observation of cells untreated (left panel) or treated with  $45 \mu\text{g/ml}$  of Nano  $\text{Nd}_2\text{O}_3$  for 48 h (right panel) under a phase contrast microscope (magnification:  $200\times$ ). (B) Quantification of vacuolization. At least 500 cells from untreated (control) or Nano  $\text{Nd}_2\text{O}_3$ -treated ( $45 \mu\text{g/ml}$ , 48 h) sample were examined under a light microscope and the percentage of vacuolated cells was calculated. Means  $\pm$  SD ( $n = 3$ ).

in Fig. 2A, the proportion of dead cells increased dramatically after 3 days of treatment, and after 5 days essentially all cells were dead. In contrast, the proportion of dead cells in the untreated cells remains relatively unchanged throughout the experiment. The effect of Nano  $\text{Nd}_2\text{O}_3$  to cause cell death is dose-dependent, with the minimum effective dose at  $22.5 \mu\text{g/ml}$  and the maximum effect observed at  $225 \mu\text{g/ml}$  (Fig. 2B).

To confirm loss of viability, we stained cells with PI and observed the same under a fluorescent microscope. The majority of Nano  $\text{Nd}_2\text{O}_3$ -treated cells were PI-positive, while those of non-treated cells were PI-negative (Fig. 2C). Most of the dead cells were shrunk and rounded-up (Fig. 2C), a morphology that is quite different from that observed under necrosis.

#### Nano $\text{Nd}_2\text{O}_3$ induced S-phase cell cycle arrest

To assess whether Nano  $\text{Nd}_2\text{O}_3$  affects cell cycle, we performed DNA flow cytometric analysis. Three days after treatment of Nano  $\text{Nd}_2\text{O}_3$  ( $45 \mu\text{g/ml}$ ) in NCI-H460 cells, the cell population in the S-phase increased from 34.01% to 43.26% while the G1- and G2/M-phase populations decreased from 65.99% to 56.74% (Table 1).

#### Apoptosis and caspases were not involved in the death induced by Nano $\text{Nd}_2\text{O}_3$

To determine whether the cell death induced by Nano  $\text{Nd}_2\text{O}_3$  involved apoptosis, we stained NCI-H460 cells with FITC-conjugated annexin V and analyzed with FACS. Similar to the case for the untreated cells, Nano  $\text{Nd}_2\text{O}_3$  ( $45 \mu\text{g/ml}$ , 3 days)-treated cells exhibited very little annexin V staining (Fig. 3A). In contrast, NCI-H460 cells treated with Taxol ( $10 \mu\text{g/ml}$ , 24 h), a known apoptosis-inducing agent in this cell line, showed a high degree ( $>85\%$  of cells) of annexin V staining. These results indicated that the cell death elicited by Nano  $\text{Nd}_2\text{O}_3$  was non-apoptotic.

To gain insight into the mechanism of Nano  $\text{Nd}_2\text{O}_3$ -induced cell death, we investigated the possible involvement of caspases in this process. We first measured the activity of caspases-9 and -3, which are important initiator and effector caspases, respectively. As Fig. 3B shows, neither caspase-3 nor caspase-9 was activated by Nano  $\text{Nd}_2\text{O}_3$  ( $45 \mu\text{g/ml}$ , 3 days), but both caspases were activated by TRAIL ( $20 \text{ ng/ml}$ , 6 h), in the NCI-H460 cells. We also performed caspase inhibition assay and found that Z-VAD-FMK, a broad inhibitor of caspase family members, did not block Nano  $\text{Nd}_2\text{O}_3$ -induced cell death (Fig. 3C). The same concentration ( $50 \mu\text{M}$ ) of Z-VAD-FMK completely blocked cell death induced by TRAIL. These results indicated that caspases were not involved in Nano  $\text{Nd}_2\text{O}_3$ -induced cell death.

#### Nano $\text{Nd}_2\text{O}_3$ induced autophagy

To assess whether the cell vacuolization induced by Nano  $\text{Nd}_2\text{O}_3$  involved autophagy, we examined the cells under an electron microscope. As shown in Figs. 4A–D, the majority of Nano  $\text{Nd}_2\text{O}_3$ -treated NCI-H460 cells, but not control cells, contained a number of autophagic vacuoles, with digested materials or almost intact organelles visible, demonstrating the existence of autophagy. Neither chromatin condensation nor nuclear fragmentation was observed, confirming the absence of apoptotic cell death. Consistent with the vacuolization results obtained under a light microscope, electron microscopy did not reveal any autophagic vacuoles for the cells treated with neodymium chloride and nano cerium oxide (data not shown).

To quantify autophagy, we performed FACS analysis after staining with acridine orange. Autophagy is characterized by AVO development, which can be detected and quantified by vital staining of acridine orange. In acridine orange-stained cells, the cytoplasm and nucleolus fluoresce bright green and dim red, whereas acidic compartments fluoresce bright red. The intensity of the red fluorescence is proportional to the degree of acidity and/or the volume of the cellular acidic compartment [9]. As shown in Fig. 4E, Nano  $\text{Nd}_2\text{O}_3$  treatment increased the proportion of red fluorescent cells from 0.80% (control) to 68.38%. 3-MA, a well-known specific inhibitor of autophagy, inhibited the AVO

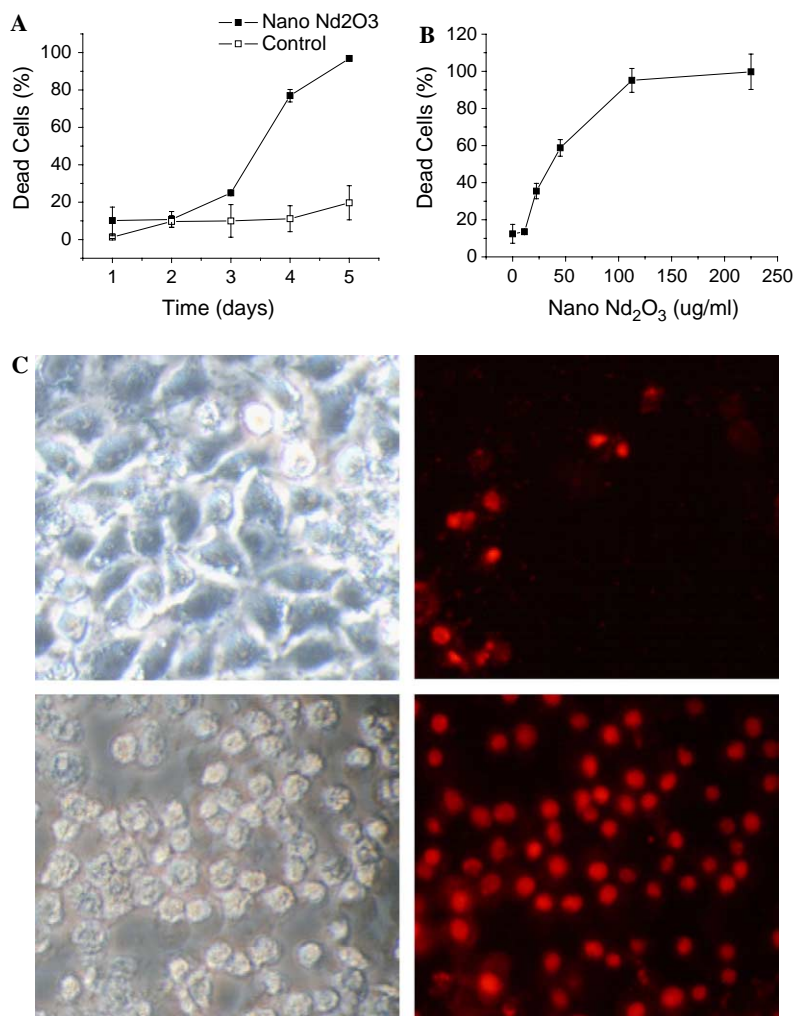


Fig. 2. NCI-H460 cell death induced by Nano Nd<sub>2</sub>O<sub>3</sub>. (A) Reduced viability after treatment with Nano Nd<sub>2</sub>O<sub>3</sub>. NCI-H460 cells were treated with 45  $\mu$ g/ml of Nano Nd<sub>2</sub>O<sub>3</sub> or PBS for various times and the percentage of dead cells was assessed by trypan blue staining. Means  $\pm$  SD ( $n = 3$ ). (B) Dose effect of Nano Nd<sub>2</sub>O<sub>3</sub>. Cells were treated with 0, 11.25, 22.5, 45, 112.5 or 225  $\mu$ g/ml of Nano Nd<sub>2</sub>O<sub>3</sub> for 4 days and cell viability was assessed by trypan blue staining. Means  $\pm$  SD ( $n = 3$ ). (C) Confirmation of cell death by PI staining. Untreated cells (upper panel) or cells treated with 45  $\mu$ g/ml of Nano Nd<sub>2</sub>O<sub>3</sub> for 5 days (lower panel) were stained with PI and visualized under light phase contrast microscope (left panel) and fluorescent microscope (right panel). Magnification: 200 $\times$ .

Table 1  
Neodymium oxide induced S-phase arrest

Cell cycle (%)	Control	Neodymium oxide
G1	57.72	48.83
S	34.01	43.26
G2/M	8.26	7.91

NCI-H460 cells were treated with 45  $\mu$ g/ml of Nano Nd<sub>2</sub>O<sub>3</sub> for 24 h. Cells were collected and stained with propidium iodide and analyzed with FACS. The proportion of cells in different phases of the cycle was determined with ModFit LT 3.1 software.

formation caused by Nano Nd<sub>2</sub>O<sub>3</sub>, as evidenced by a decrease in the proportion of red fluorescent cells from 68.38% (Nano Nd<sub>2</sub>O<sub>3</sub> treatment alone) to 30.5% (Nano Nd<sub>2</sub>O<sub>3</sub> + 5 mM 3-MA). Bafilomycin A1, another commonly used inhibitor that acts at a late stage of autophagy by inhibiting fusion between autophagosomes and lysosomes, also

effectively blocked AVO formation. These data, taken together with the EM, caspase, and annexin V results shown above, supported our conclusion that Nano Nd<sub>2</sub>O<sub>3</sub>-induced cell death occurs by autophagy, and not apoptosis, in a caspase-independent manner.

#### *Nano Nd<sub>2</sub>O<sub>3</sub> decreased the mitochondrial membrane potential*

Mitochondria are death signal integrators and play important roles in various death processes. Mitochondria were known to be disrupted during autophagy induced by some agents [10,11], but remained intact in other autophagic cases [21]. To determine the integrity of mitochondria during Nano Nd<sub>2</sub>O<sub>3</sub>-induced autophagy, we stained NCI-H460 cells after Nano Nd<sub>2</sub>O<sub>3</sub> treatment with rhodamine 123, an indicator of mitochondrial membrane poten-



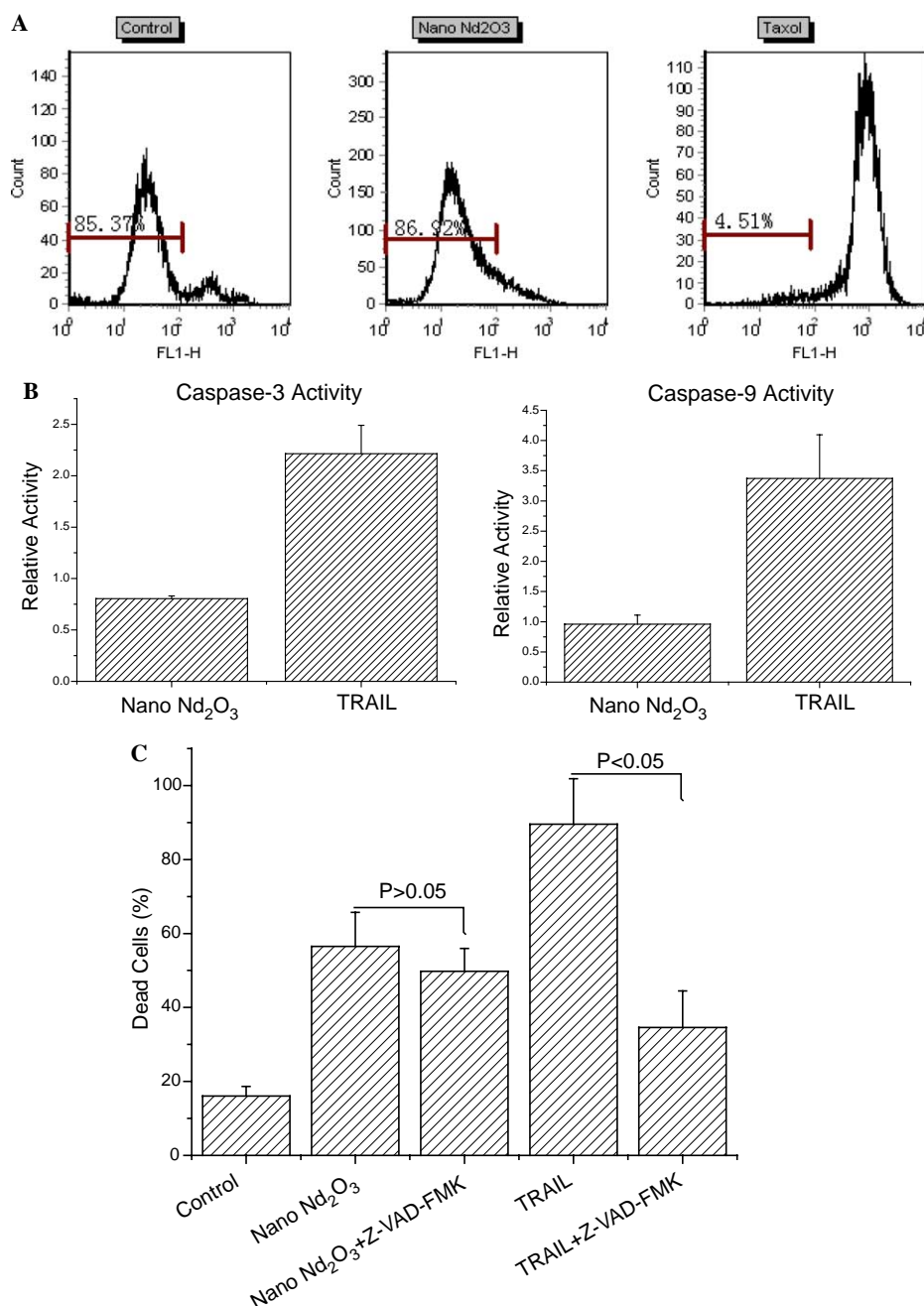


Fig. 3. Cell death induced by Nano Nd<sub>2</sub>O<sub>3</sub> in NCI-H460 cells did not involve apoptosis. (A) Quantification of apoptosis. Cells were treated with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml, 3 days) or Taxol (10 µg/ml, 24 h) and assayed for apoptosis by FACS following annexin V-FITC staining. Control cells were treated with PBS. The data shown are representative of three independent experiments. (B) Measurement of caspase activity. Cells were treated with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml, 3 days) or TRAIL (20 ng/ml, 6 h) and assayed for the activity of caspase-3 or caspase-9 by using specific caspase substrates. Control cells were treated with PBS. Results were expressed as the relative activity of treated cells over control cells. (C) Effect of caspase inhibitor. Cells were pre-treated with DMSO or the caspase family inhibitor Z-VAD-FMK (50 µM) for 1 h before treatment with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml, 4 days) or TRAIL (20 ng/ml, 4 days). Control cells were treated with DMSO only. The percentage of dead cells was assessed by trypan blue staining. Means + SD (*n* = 3).

tial and analyzed results by FACS. Nano Nd<sub>2</sub>O<sub>3</sub> treatment (45 µg/ml, 3 days) induced mild loss of mitochondria membrane potential, as shown by a modest but significant increase of rhodamine 123-staining cells from 20.67% to 33.33% (Fig. 5). In comparison, H<sub>2</sub>O<sub>2</sub>, a potent disruptor of mitochondria integrity, caused a complete loss of mitochondrial membrane potential after treatment for 4 h (Fig. 5).

#### *Autophagy induced by Nano Nd<sub>2</sub>O<sub>3</sub> is associated with decreased proteasome activity*

The proteasome is a large multi-catalytic protease that degrades polyubiquitinated proteins to small peptides. It is composed of two sub-complexes: a catalytic 20S core particle and a regulatory 19S regulatory particle. Degradation by proteasome and autophagy are two important

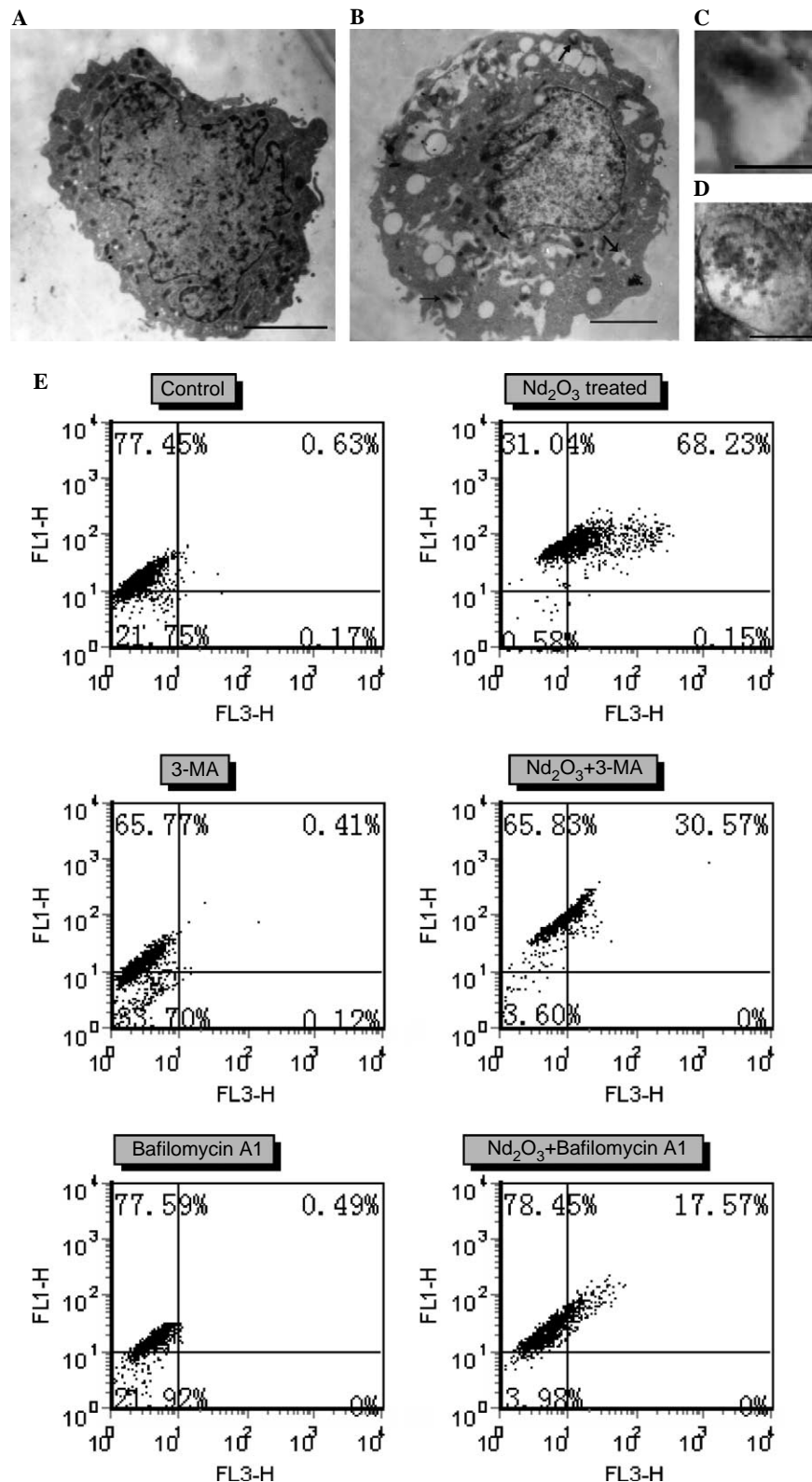


Fig. 4. Induction of autophagy in NCI-H460 cells by Nano Nd<sub>2</sub>O<sub>3</sub>. (A–D) Ultrastructural features of cells treated with PBS (A) or 45 µg/ml of Nano Nd<sub>2</sub>O<sub>3</sub> (B–D) for 2 days, as revealed by TEM. Arrows in (B) indicate representative autophagic vacuoles. (C,D) Autophagic vacuoles containing an almost intact organelle and residual digested material, respectively. Scale bars: 5 µm (A,B); 1 µm (C,D). (E) Quantification of AVOs with acridine orange using FACS scan. Cells were exposed to the supravital stain acridine orange 2 days after treatment with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml), bafilomycin A1 (100 nM) or 3-MA (5 mM). For combination treatments, cells were pre-treated with bafilomycin A1 (100 nM) or 3-MA (5 mM) for 1 h before treatment with Nano Nd<sub>2</sub>O<sub>3</sub> (45 µg/ml). Control cells were treated with PBS. FL1-H and FL3-H indicate green color and red color intensities, respectively.

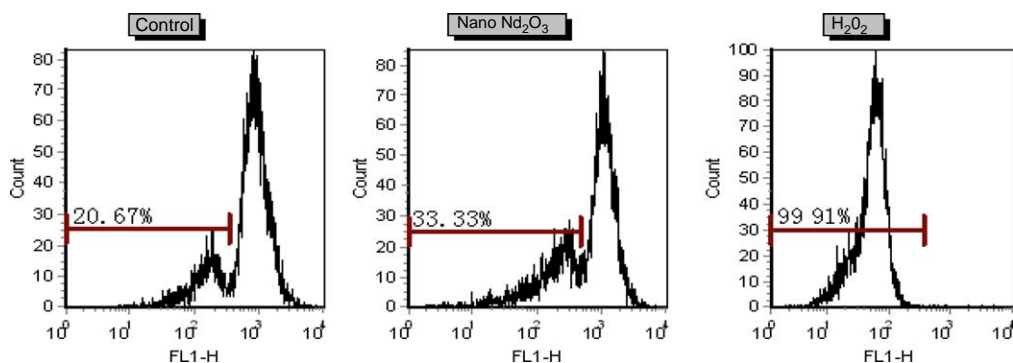


Fig. 5. Disruption of mitochondrial membrane potential in NCI-H460 cells by Nano  $\text{Nd}_2\text{O}_3$ . Mitochondrial membrane potential in cells treated with or without Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ , 2 days) was measured by rhodamine 123 fluorescence using FACS.  $\text{H}_2\text{O}_2$  (50  $\mu\text{M}$ , 4 h) was used as a positive control.

cellular processes for cells to continually maintain proper protein levels and to respond to situations such as stress. In general, proteasome is responsible for degradation of short-lived proteins while autophagy for degradation of organelles and long-lived proteins. To assess the possible change in proteasome activity during Nano  $\text{Nd}_2\text{O}_3$ -induced autophagy, we assayed 20S proteasome activity in NCI-H460 cells by monitoring the release of free AMC from the fluorogenic peptide Suc-LLVY-AMC. As shown in Fig. 6, Nano  $\text{Nd}_2\text{O}_3$  treatment (45  $\mu\text{g}/\text{ml}$ , 2 days) caused a 41.48% decrease in proteasome activity, compared to the untreated cells.

#### *Bafilomycin A1 but not 3-MA induced apoptosis while inhibiting autophagy*

Recent studies have shown that bafilomycin A1 induced apoptosis while suppressing autophagy in a number of experimental cell systems. To assess whether bafilomycin A1 has a similar effect in Nano  $\text{Nd}_2\text{O}_3$ -treated cells, we stained NCI-H460 cells with Hoechst 33342 to detect

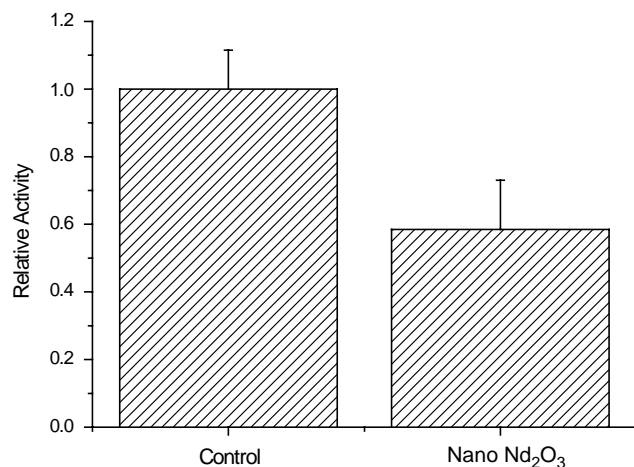


Fig. 6. Decrease of proteasome activity in Nano  $\text{Nd}_2\text{O}_3$ -treated NCI-H460 cells. Cells treated with or without Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ , 2 days) were assayed for 20S proteasome activity as measured by release of the fluorescent group AMC from the fluorogenic substrate Suc-LLVY-AMC. Results were normalized against the control value. Means  $\pm$  SD ( $n = 4$ ).  $p < 0.05$ .

apoptotic nuclei after treatment with Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ ) for 3 days. More than 400 cells were randomly selected and individually assessed under a microscope for the presence of apoptotic nuclei. Fig. 7A gives a represen-

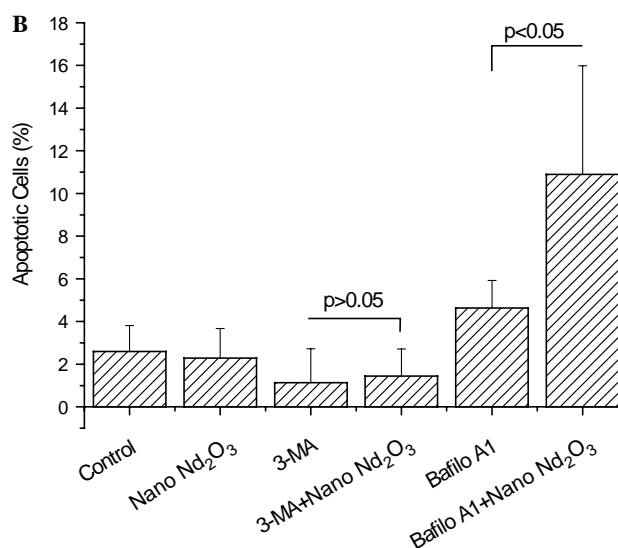
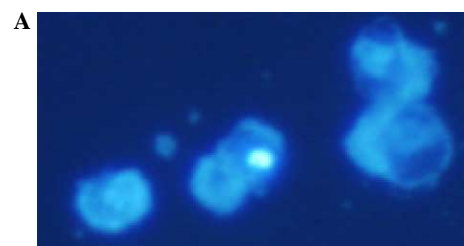


Fig. 7. Induction of apoptosis by bafilomycin A1/Nano  $\text{Nd}_2\text{O}_3$  co-treatment in NCI-H460 cells. (A) Staining of apoptotic nuclei by Hoechst 33342. Fluorescent microscopy of representative apoptotic cells after co-treatment of Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ ) and bafilomycin A1 (20 nM) for 3 days. The bright fluorescence indicates condensation of DNA. (B) Quantification of apoptosis. Cells were treated with Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ ), bafilomycin A1 (20 nM) or 3-MA (2 mM). For combination treatments, cells were pre-treated with bafilomycin A1 (20 nM) or 3-MA (2 mM) for 1 h before treatment with Nano  $\text{Nd}_2\text{O}_3$  (45  $\mu\text{g}/\text{ml}$ ). Control cells were treated with PBS. At least 150 cells from each sample were examined under a fluorescent microscope and the percentage of apoptotic cells was calculated. Means  $\pm$  SD ( $n = 3$ ).

tative picture of cell nuclei exhibiting features of DNA condensation. Co-treatment of NCI-H460 cells with bafilomycin A1, but not 3-MA, significantly increased the proportion of apoptotic cells from <3% to 11% (Fig. 7B). Treatment with bafilomycin A1 alone did not lead to this increase. These results indicated that bafilomycin A1, but not 3-MA, induced apoptosis while inhibiting autophagy caused by Nano Nd<sub>2</sub>O<sub>3</sub>.

## Discussion

In this paper, we report for the first time that a rare earth compound, neodymium oxide, induces autophagic cell death in a cancer cell line. Neodymium is a lustrous, silver-yellow, rare-earth metallic element in the lanthanide series within group IIIB of the periodic table. Neodymium oxide, also called Neodymia, is widely used for making glass, capacitors, and magnets. The ability of neodymium oxide to induce autophagy is both element- and compound-specific, as neither neodymium chloride nor nano cerium oxide induced autophagy. Size appears to matter, as non-nano neodymium oxide was much less active than Nano Nd<sub>2</sub>O<sub>3</sub> in inducing autophagy (data not shown).

We delineated that Nano Nd<sub>2</sub>O<sub>3</sub> induced autophagy in NCI-H460 cells, while apoptosis and caspases were not involved. However, bafilomycin A1 induced apoptosis while inhibiting Nano Nd<sub>2</sub>O<sub>3</sub>-induced autophagy. These results suggested that there were crosstalks between apoptosis and autophagy, and inhibition of one pathway may drive cells to the other one [22]. It is conceivable that failure to remove disrupted mitochondria may prime cells for apoptosis. Alternatively, inhibition of autophagy may alter the equilibrium state between pro- and anti-apoptotic mediators [23] and drive cells to apoptosis.

Nano Nd<sub>2</sub>O<sub>3</sub> also induced S-phase arrest in NCI-H460 cells. S-phase arrest during autophagy has been reported in several other cancer cell systems. Examples included prostate cancer cells treated with prostaglandin J2 [24], ovarian cancer cells treated with resveratrol [25], and colon cancer cells treated with soybean B-group triterpenoid saponins [26]. However, the relationship between S-phase arrest and autophagy, if any, remains to be determined.

Another important aspect emerging from our study is the finding that proteasome activity was greatly reduced during autophagy induced by Nano Nd<sub>2</sub>O<sub>3</sub>. Inhibition of the proteasome represents a new and promising approach for cancer therapy, with the clinical development of Bortezomib as a good example [27]. Inhibition of proteasome activity has been reported to occur during autophagy induced by mutant  $\alpha$ -synuclein in PC12 cells [28]. It is interesting to note that  $\alpha$ -synuclein is degraded by both proteasome and autophagy [29]. Whether the inhibition of proteasome activity by Nano Nd<sub>2</sub>O<sub>3</sub> contributes to autophagy remains to be determined.

Nano Nd<sub>2</sub>O<sub>3</sub> induced autophagic cell death in NCI-H460 cells in the micromolar equivalent concentration

range, a range that is achievable clinically. Many chemotherapeutic compounds were known to work within this range. The physicochemical features of neodymium oxide, such as its magnetic property, may be useful for the development of innovative therapeutics for cancer. For example, it is conceivable that Nano Nd<sub>2</sub>O<sub>3</sub>, upon administration, could be concentrated in the cancerous organ or tissue by the use of a magnetic force, thus enhancing its therapeutic benefits while minimizing its toxicity. Similar “magnetic drug targeting” has been documented [30]. Nano Nd<sub>2</sub>O<sub>3</sub> could also be conjugated with other chemotherapeutic drugs, such as drugs that induce apoptosis, leading to the possible development of more powerful therapeutics.

In conclusion, we demonstrated that Nano Nd<sub>2</sub>O<sub>3</sub> induced massive vacuolization and autophagic cell death in NCI-H460 cells. Our results revealed a novel biological function for a nano-sized rare earth compound and point to new possibilities in developing innovative therapeutics for NLCSC and possibly other types of cancer.

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